



HOYA Group – Modern Slavery Statement

The *Transparency in Supply Chains* clause in Section 54 of the UK Modern Slavery Act 2015 requires organisations over £36 million turnover and that carry out business in the UK, to produce an annual public statement on the steps they have taken to identify and eradicate slavery and human trafficking within their businesses and supply chains. The HOYA Group is committed to ensuring that its own company and business partners adhere to high ethical standards and comply with the laws and regulations applicable to their business, including laws relating to human trafficking and slavery. This document constitutes the HOYA Group's slavery and human trafficking statement for the financial year ending 31 March 2021.

The HOYA Group is a diversified, multinational company and leading supplier of innovative and indispensable high-tech and healthcare products. It is headquartered in Japan and is comprised of over 150 subsidiaries and affiliates with over 35,000 employees worldwide. HOYA's Life Care division supplies healthcare products such as eyeglasses and medical endoscopes. HOYA's Information Technology segment focuses on electronic products such as optical lenses for digital cameras and smartphones. Several UK-based subsidiaries manage sales of blanks, flexible endoscope reprocessors, optical lenses and flexible endoscopes. Production of our advanced optics technologies takes place in our owned manufacturing sites, based predominantly in Asia, with products shipped to our customers in Europe, the Middle East, Africa and the Americas.

All HOYA Group employees are required to adhere to [the HOYA Global Code of Conduct \(CoC\)](#) and sign an affirmation form every year, agreeing to promptly report any violations. The HOYA CoC outline the company's expectations for business conduct and practices and include provisions on conducting business with high ethical and legal standards. This includes employees respecting human rights and not accepting child labour, forced labour or human trafficking of any kind in our business and supply chains. Therefore, to further our efforts this year, we have implemented a human rights and forced labour e-learning training module for our executive officers, as well as chief executive and financial officers of our divisions. HOYA aims to achieve its management principles, which contribute to economic prosperity, social progress and cultural advancement, through procurement activities which work to create and reinforce positive relationships with suppliers.

This year, we have continued our efforts to adopt better industry practices and protect workers' rights by ensuring all high and medium-risk suppliers of goods and services to Hoya to re-affirm their commitment to [the HOYA Supplier Code of Conduct \(SCOC\)](#) by signing an agreement to comply in their own business and supply chains. This includes suppliers not only of the products we sell, but also of the services we procure such as cleaning and canteen services and labour providers to our factories. Our SCOC is provided in English, Japanese, Chinese, Thai, Vietnamese, the main languages spoken in our supply base. The SCOC contains provisions on forced labour and human trafficking, in addition to child labour, discrimination and freedom of association. Since 2018, compliance with the HOYA SCOC has been included as a contractual requirement in all newly adopted distributor and supplier contracts. Compliance with HOYA SCOC stands in addition to the contractual requirement

for suppliers and distributors to comply with all laws and regulations applicable to their business.

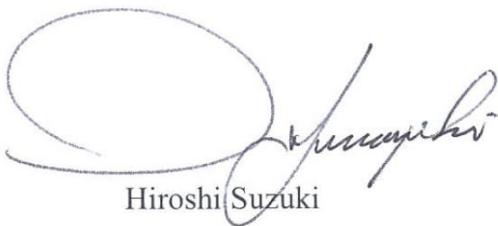
The HOYA Help Line and other resources are available to all employees and can be used to report suspected human rights violations, as outlined in the BCGs. The HOYA SCOC is supported by a dedicated team which manages reports of suspected violations and responds to supplier inquiries about compliance with the SCOC and remediation of any arising issues.

At HOYA Group, our top priority has been and continues to be the safety and health of our employees, as well as the stability of employment. We implemented a series of measures in line with the recommendations of relevant local or national government policies, ensuring workers are appropriately protected from the risks by maintaining social distance measures, installing panels and shields to reduce the viral spread, providing appropriate PPE, increased sanitation of workspaces, leave and pay arrangements during periods of self-isolation, and safe locations to self-isolate. We have also been proactive in ensuring all employees receive regular communications on corporate decisions and information related to COVID-19 .

We continuously commit to our due diligence procedures for suppliers prior to engagement of a supplier, we evaluate a supplier's ability to meet the HOYA SCOC requirements, which may include suppliers' response to questionnaires and audits of supplier facilities. Our expectations for compliance with ethical and quality standards are continued to be communicated to all potential suppliers through the HOYA SCOC which requires a commitment to ensure that slavery and human trafficking are not taking place in their business and supply chains. We have also set up a supply chain compliance team to respond to any inquiries about the SCOC as a resource for both our suppliers as well as our group companies.

This year, we have focused on a Conflict Minerals Survey for all our business divisions, including Life Care division and Information Technology segments. We received a commitment from all our suppliers in the IT segment divisions to source materials from smelters or refiners that follow applicable conflict minerals rules and regulations ("Conformant Smelters"). Regarding the Lifecare segment divisions, approximately 85% of our suppliers have affirmed their commitment to refrain from using any conflict minerals for materials HOYA procure. Further, HOYA is committed to continue engaging all our suppliers to ensure any materials HOYA procures are sourced from Conformant Smelters.

We retain the right to periodically conduct audits of suppliers to confirm compliance with supplier performance and quality standards as outlined in the HOYA SCOC. We are committed to working with suppliers to ensure the correct management systems are in place to prevent breaches or to cure any weaknesses identified.

A handwritten signature in blue ink, appearing to read "Hiroshi Suzuki", is positioned above the printed name.

Hiroshi Suzuki

HOYA Group President and CEO on September 29, 2021